

Privacy Notice

FACTS

WHAT DOES VELERITY GROUP, INC. DO WITH YOUR PERSONAL INFORMATION?

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| Why? | <p>Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.</p> |
| What? | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security Number and Account Numbers ■ Date of Birth and Full Name ■ Home Address and Telephone Numbers <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |
| How? | <p>All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client personal information; the reasons Velerity Group, Inc. chooses to share; and whether you can limit this sharing.</p> |

| Reasons we can share your personal information | Does Velerity Group, Inc. share? | Can you limit this sharing? |
|--|----------------------------------|-----------------------------|
| For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes - to offer our products and services to you | Yes | No |
| For joint marketing with other financial companies | No | Yes |
| For our affiliates' everyday business purposes - information about your transactions and experiences | Yes | No |
| For our affiliates' everyday business purposes - information about your creditworthiness | No | Yes |
| For nonaffiliates to market to you | No | Yes |

Questions?

Call Us: 631-595-5309 or by Mail: 14 Scott Avenue, Selden N.Y. 11784

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| Who we are | |
| Who is providing this notice? | Velerity Group, Inc., a SEC registered broker-dealer. |
| What we do | |
| How does Velerity Group, Inc. protect my personal information? | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. |
| How does Velerity Group, Inc. collect my personal information? | We collect your personal information, for example, when you establish an account or update your account information. |
| Why can't I limit all sharing? | Federal law gives you the right to limit only sharing for affiliates' everyday business purposes - information about your creditworthiness, affiliates from using your information to market to you, and sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing. |
| Definitions | |
| Affiliates | Companies related by common ownership or control. They can be financial and nonfinancial companies sharing for affiliates' everyday business purposes - information about your creditworthiness |
| Nonaffiliates | Companies not related by common ownership or control. They can be financial and nonfinancial companies. |
| Joint marketing | A formal agreement between nonaffiliated financial companies that together market financial products or services to you. |
| Other important information | |
| <p>Keeping client account information accurate and up-to-date is very important to us. If you ever find that your account information is incomplete, inaccurate or not current, please contact us via the address or by phone. We will correct any inaccurate or outdated information as soon as possible. According to the USA PATRIOT ACT (Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism Act of 2000) we must verify the identity of every customer opening an account with Velerity Group, Inc. Therefore, you will be asked to furnish documents suitable for verification, including: unexpired government-issued ID bearing a photograph for an individual; or a registered article of incorporation, government-issued business license, a partnership agreement or a trust instrument for a corporation or a trust. We may also use third-party sources such as government lists or consumer reporting agencies to verify identity.</p> | |